

**CAUT ACADEMIC FREEDOM FUND
FINANCIAL REPORT
as at September 30, 2017**

CAUT Academic Freedom Fund Statement of Assets and Liabilities as at September 30, 2017	
Assets	
Cash in Bank	\$ 432,034
Investments - at book value	\$ 1,024,681
Accrued Interest	<u>\$ 68,299</u>
TOTAL ASSETS	\$ 1,525,014
Liabilities	
Accounts Payable and Accrued Liabilities	\$ 5,085
Due to CAUT	<u>\$ -</u>
TOTAL LIABILITIES	\$ 5,085
Equity	
Retained Earnings	\$ 1,481,100
Current Earnings	<u>\$ 38,829</u>
TOTAL EQUITY	\$ 1,519,929
TOTAL LIABILITIES AND EQUITY	<u><u>\$ 1,525,014</u></u>

CAUT Academic Freedom Fund Statement of Operations for the three months ending September 30, 2017	
Revenue	
Contributions Received	\$ 32,300
Investment Income	<u>\$ 6,554</u>
Total Revenue	\$ 38,854
Expenses	
Assistance to Locals	\$ -
Grants	\$ -
Bank Charges	\$ 25
Supplies and Services	\$ -
Audit Fees	<u>\$ -</u>
Total Expenditures	<u>\$ 25</u>
Net Revenue	<u><u>\$ 38,829</u></u>

CAUTI Academic Freedom Fund
INVESTMENT SCHEDULE
at September 30, 2017

Date Acquired	Security Description	Maturity Date	Face Value	Unit Value	Market Value	Book Value (Cost)	Yield to Maturity	Days to Maturity	Total Earnings	Earnings per day	Accrued to Date	Days from Purchase	Accrued to Sept 30/17
GICs													
10/30/12	Bank of Nova Scotia	10/30/17	80,000		90,329.12	80,000.00	2.50%	1826	10,512.65	5.76	9/30/17	1796	10,329.12
01/24/13	Manulife Trust Co.	01/24/18	51,733		57,941.12	51,733.00	2.45%	1826	6,655.52	3.64	9/30/17	1710	6,208.12
09/13/13	BMO Advisors Advan.	09/13/18	50,000		56,131.90	50,000.00	2.90%	1826	2,902.05	1.59	9/30/17	1478	6,131.90
12/03/13	Canadian Tire Bank	12/03/18	70,000		77,364.56	70,000.00	2.65%	1826	9,779.78	5.36	9/30/17	1397	7,364.56
12/03/13	National Bank	12/03/18	70,000		77,364.56	70,000.00	2.65%	1826	9,779.78	5.36	9/30/17	1397	7,364.56
03/14/14	Equitable Bank	03/14/19	50,000		54,672.55	50,000.00	2.55%	1826	6,708.52	3.67	9/30/17	1296	4,672.55
03/20/14	ICICI Bank	03/20/19	40,000		43,750.04	40,000.00	2.57%	1826	5,411.07	2.96	9/30/17	1290	3,750.04
04/16/14	Tangerine Bank	03/20/19	45,000		49,143.06	45,000.00	2.58%	1799	6,112.37	3.40	9/30/17	1263	4,143.06
04/16/14	ICICI Bank	04/16/19	55,000		60,124.52	55,000.00	2.61%	1826	7,562.07	4.14	9/30/17	1263	5,124.52
06/19/15	CDN Western Bank	06/19/20	50,000		52,745.60	50,000.00	2.37%	1827	5,339.48	2.92	9/30/17	834	2,745.60
06/19/15	CDN Western Bank	06/19/20	50,000		52,745.60	50,000.00	2.37%	1827	5,339.48	2.92	9/30/17	834	2,745.60
11/26/15	Canadian Tire Bank	11/26/20	50,000		50,975.67	50,000.00	2.32%	1827	5,339.48	2.92	9/30/17	674	975.67
11/26/15	HSBC Bank Canada	11/26/20	100,000		101,976.58	100,000.00	2.35%	1827	10,678.95	5.85	9/30/17	674	1,976.58
11/26/15	Homequity Bank	11/26/20	100,000		101,976.58	100,000.00	2.35%	1827	10,678.95	5.85	9/30/17	674	1,976.58
12/07/15	Home Trust Company	12/07/20	55,900		58,264.01	55,900.00	2.31%	1827	5,969.54	3.27	9/30/17	663	2,364.01
09/15/17	CDN Western Bank	08/15/21	35,100		35,131.91	35,100.00	2.37%	1430	3,748.31	2.62	9/30/17	15	31.91
06/30/17	Laurentian Bank	06/30/22	71,948		72,342.63	71,948.00	2.20%	1826	7,683.29	4.21	9/30/17	92	394.63
			1,024,681		1,092,980.01	1,024,681.00			120,201.29				68,299.01
	Total		1,024,681.00		1,092,980.05	1,024,681.00			120,201.29				68,299.01
	Accrued Interest												68,299.01
	Investments plus accrued interest												1,092,980.01

18.04